CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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n S. Nagy, Reg. No. 30,664

Nagy, Keg. No. 30,664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/715,150

Applicants

: Lilip Lau, Joshua Wallin

Filed

: November 17, 2003

Art Unit

: Unassigned

Examiner

: Unassigned

Title

CARDIAC HARNESS DELIVERY DEVICE AND METHOD

Docket No.:

PARCR 67359

Customer No.

: 24201

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Form PTO/SB/08A have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97. A copy of each of the listed articles is enclosed.

Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. § 1.97(b)(3) and therefore, no fee is due. However, if a fee is in fact due the

Commissioner is authorized to charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:

ohn S. Nagy

Registration No. 30,664

JSN:jeb Enclosures

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045 Telephone: (310) 824-5555 Facsimile: (310) 824-9696

Customer No. 24201

68803.1

PTO/SB/08a (06-03) 'ed for use through 07/31/2003 OMB 0651-0031

U.S. Patent and Trade: Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction required to respond to a collection of information unless it contains a valid OMB control number Substitute for form 1449A/PTO

Complete if Known Application Number 10/715,150 Filing Date November 17, 2003 Lilip Lau First Named Inventor Art Unit Unassigned

(use as many sheets as necessary) of 2

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STATEMENT BY AND

Sheet

Unassigned Examiner Name Attorney Docket Number PARCR 67359

	·		U.S	. PATENT DOCUMEN	NTS	
Examiner Initials*	Cite No ¹	Number Documen	t Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		6,689,048		02/10/04	Vanden Hoek et al.	
		6,695,769		02/24/04	French et al.	
		6,701,929		03/09/04	Hussein	
		6,723,041		04/20/04	Lau et al.	
		6,730,016		05/04/04	Cox et al.	
		6,755,779		06/20/04	Vanden Hoek et al.	
		2004/0122069		07/08/04	Shapland et al.	
		2004/0171907		09/02/04	Alferness et al.	
		2004/0171908		09/02/04	Alferness et al.	
						
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Examiner Initials*	Cite	Fo	oreign Patent Docu	ment	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO	Complete if Known		
Substitute for form 1449B/FTO	Application Number . 10/715/150		
INFORMATION DISCLOSURE	Filing Date	November 17, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau	
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(use as many sheets as necessary)	Examiner Name	Unassigned	
Sheet 2 of 2	Attorney Docket Number	PARCR 67359	

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		WHARTON, J. Marcus, et al., Electrophysiological Effects of Monophasic and Biphasic Stimuli in Normal and Infarcted Dogs, PACE, Vol. 13, pp. 1158-1172, September 1990	
		SHABETAI, Ralph, The Role of the Pericardium in the Pathophysiology of Heart Failure, Congestive Heart Failure, Second Edition, Chapter 9, pp. 157-187, 2000	
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S. Nagy, Reg. No. 30,664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/715,150

Applicants

Lilip Lau, Joshua Wallin

Filed

: November 17, 2003

Art Unit

: Unassigned

Examiner

Unassigned

Title

CARDIAC HARNESS DELIVERY DEVICE AND METHOD

Docket No.:

PARCR 67359

Customer No.

24201

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Dear Sir:

The documents listed on the enclosed Form PTO/SB/08A have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97.

The cited documents were brought to the Applicants' attention in a Search Report for an international application pending under the Patent Cooperation Treaty which asserts priority to U.S. Serial No. 60/427,079 (now expired), which Search Report was communicated on September 15, 2004, not more than three months ago.

The references cited on the Form PTO/SB/O8a may not constitute prior art to the subject matter of the invention claimed in the pending application. The documents therefore are disclosed here in an abundance of caution, in light of 37 C.F.R. § 1.56.

Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. § 1.97(b)(3) and therefore, no fee is due. However, if a fee is in fact due the Commissioner is authorized to charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:

John S. Nagy

Registration No. 30,664

JSN:jeb Enclosures

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045 Telephone: (310) 824-5555 Facsimile: (310) 824-9696

Customer No. 24201

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31/2003	OMB	0651-0031

Under the Paperwork Reduction 1995, no persons ar	[±] U.S. Patent and Trac te required to respond to a collection of inf	Approved for use through 07/31/2003 OMB 0651-0031 dem fice: U.S. DEPARTMENT OF COMMERCE form. unless it contains a valid OMB control number				
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AUG 1 2 2005	Application Number	10/715,150				
INFORMATION DISCLOSURE	Filing Date	November 17, 2003				
STATEMENT DESARDITORIES	First Named Inventor	Lilip Lau				
STATEMENT BY PPLICANT	Art Unit	Unassigned				
(use as many sheets as necessary)	Examiner Name	Unassigned				
Sheet 1 of 1	Attorney Docket Number	PARCR 67359				

			U.S.	PATENT DOCUMEN	NTS	
Examiner Initials*	Cite No ¹	Number Document	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,333,624		08/02/94	Tovey	
		2002/028981		03/07/02	Lau et al.	
		2002/0077637		06/20/02	Vargas et al.	
						
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				FOREIGN	PATENT DOCUM	1ENTS		
Examiner Initials*	Cite	Cite Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines		
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Signature	Con	sidered	

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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S. Nagy (reg. No. 30,664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/715,150

Applicants

Lilip Lau, Joshua Wallin

Filed

: November 17, 2003

Art Unit

: 3736

Examiner

: Unassigned

Title

CARDIAC HARNESS DELIVERY DEVICE AND METHOD

Docket No.:

PARCR 67359

Customer No.

24201

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Form PTO/SB/08a have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97. A copy of each of the cited articles is enclosed.

Pursuant to 37 C.F.R. § 1.97(e)(2), no item contained in the Information

Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, no item of

information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Statement, therefore no fee is due. However, if a fee is in fact due, please charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

The references cited on the Form PTO/SB/O8a may not constitute prior art to the subject matter of the invention claimed in the pending application. The documents therefore are disclosed here in an abundance of caution, in light of 37 C.F.R. § 1.56.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:

John S. Nagy

Registration No. 30,664

JSN:jeb Enclosures

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045 Telephone: (310) 824-5555

Facsimile: (310) 824-9696

Customer No. 24201

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PTO/SB/08a (06-03)

d for use through 07/31/2003 OMB 0651-0031 Appr U.S. Patent and Tradema ice: U.S. DEPARTMENT OF COMMERCE 195, Exposons are required to respond to a collection of information unless it contains a valid OMB control number Under the Paperwork Reduction Complete if Known Substitute for form 1449A/PT 10/715,150 Application Number INFORMATION DISCLOS November 17, 2003 Filing Date Lilip Lau First Named Inventor STATEMENT K

Art Unit Examiner Name

Attorney Docket Number

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of 2

Sheet

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PARCR 67359

			U.S.	PATENT DOCUMEN	ITS	
Examiner Initials*	Cite No ¹	Docume Number	nt Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<u></u>	6,881,185		04/19/2005	Vanden Hoek et al.	
		6,887,192		05/03/2005	Whayne et al.	
		6,893,392		05/17/2005	Alferness	
		6,896,652		05/24/2005	Alferness et al.	
		6,902,522		06/07/2005	Walsh et al.	
		6,902,524		06/07/2005	Alferness et al.	
		6,908,426		06/21/2005	Shapland et al.	
		2005/0059854		03/17/2005	Hoek et al.	
	ļ	2005/0085688		04/21/2005	Girard et al.	
					 	
	 					
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Cultural to	4. f. f. 1440P/PTO		Complete if Known		
Substitu	ite for form 1449B/PTO		Application Number 10/715,150		
l T	NFORMATION D	ISCI OSHDE	Filing Date	November 17, 2003	
	STATEMENT BY		First Named Inventor	Lilip Lau	
,	STATEMENT DY	APPLICANT	Group Art Unit	3736	
	(use as many sheets as necessary)		Examiner Name	Unassigned	
Sheet	2 of	2	Attorney Docket Number	PARCR 67359	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	~~					
Examiner Initials*	Cite No ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²					
		Zhou, Xiaohong, et al., Epicardial Mapping of Ventricular Defibrillation With Monophasic and Biphasic Shocks in Dogs, Circulation Research, Vol. 72, No. 1, pp. 145-160, January 1993						
		Shorofsky, Stephen R., et al., Comparison of Step-Down and Binary Search Algorithms for Determination of Defibrillation Threshold in Humans, PACE, Vol. 27, pp. 218-220, February 2004						
		Gold, Michael R., M.D., et al., Comparison of Single- and Dual-Coil Active Pectoral Defibrillation Lead Systems, Journal of the American College of Cardiology, Vol. 31, No. 6, pp. 1391-1394, May 1998						
		Rinaldi, C. Aldo, A Randomized Prospective Study of Single Coil Versus Dual Coil Defibrillation in Patients With Ventricular Arrhythmias Undergoing Implantable Cardioverter Defibrillator Therapy, PACE, Vol. 26, pp. 1684 1690, August 2003						
		Schwartzman, David, M.D., et al., Serial Defibrillation Lead Impedance in Patients with Epicardial and Nonthoracotomy Lead Systems, Journal of Cardiovascular Electrophysiology, Vol. 7, No. 8, pp. 697-703, August 1996						
_		Sandstedt, Bengt, et al., Bidirectional Defibrillation Using Implantable Defibrillators: A Prospective Randomized Comparison Between Pectoral and Abdominal Active Generators, PACE, Vol. 24, Part I, pp. 1343-1353, September 2001						
		Schulte, B., et al., Dual-Coil vs. Single-Coil Active Pectoral Implantable Defibrillator Lead Systems: Defibrillation Lead Requirements and Probability of Defibrillation Success at Multiples of the Defibrillation Energy Requirements, Europace, Vol. 3, pp. 177-180, July 2001						
								

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AUG 1 2 2005

Nagy, Reg. No. 30,664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/715,150

Applicants

: Lilip Lau, Joshua Wallin

Filed

: November 17, 2003

Art Unit Examiner

Unassigned

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Unassigned

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Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:

John & Nagy

Registration No. 30,664

JSN:jeb

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045 Telephone: (310) 824-5555

Facsimile: (310) 824-9696

Customer No. 24201

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First Named Inventor	Lilip Lau					
Art Unit	Unassigned					
Examiner Name	Unassigned					
Attorney Docket Number	PARCR 67359					

			U.S	. PATENT DOCUMEN	(TS	
Examiner Initials*	Cite No ¹	Documer Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	ļ	2,826,193		03/11/58	Vineberg	· · · · · · · · · · · · · · · · · · ·
		3,464,322		09/02/69	Pequignot	
		3,513,836		05/26/70	Sausse	
		3,587,567		06/28/71	Schiff	
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		4,261,342		04/14/81	Aranguren Duo	
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	<u></u>	4,536,893		08/27/85	Parravicini	
		4,545,783		10/08/85	Vaughn	

			FOREIGN PATENT DOCUMENTS									
Examiner	Cite	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines						
Initials*	No	Office ³	Number ⁴	Kind ³ (if known)	MM-DD-YYYY	Applicant of Cited	Where Relevant Passages or Relevant Figures Appear	Té				
		FR	2 527 435		12/2/83	Serreaus	The second section of the second section	-				
		SU	1009457A		04/07/83	Kulik						
		GB	2 115 287 A		09/07/83	Sharma		 				
		JP	60-203250		10/14/85	Unknown		 				
		GB	2 209 678 A		05/24/89	Evans	· · · · · · · · · · · · · · · · · · ·	 				
		DE	3831 540 A1		04/06/89	Evans		†				
		JP	1-145066		06/07/89	Unknown		 				
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		EPO	0 370 931 A1		05/30/90	Perrotin		†				

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Signature	Considered	

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Under the Paperwork Reduction Act o. 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Complete if Known Substitute for form 1449A/PTO 10/715,150 Application Number November 17, 2003 Filing Date INFORMATION DISCLOSURE Lilip Lau First Named Inventor STATEMENT BY APPLICANT Unassigned Art Unit Unassigned **Examiner Name** (use as many sheets as necessary) Sheet 2 of | 14 PARCR 67359 Attorney Docket Number U.S. PATENT DOCUMENTS Document Number Name of Patentee or Pages, Columns, Lines, Where Examiner Cite **Publication Date** Applicant of Cited Number Kind Code Relevant Passages or Relevant MM-DD-YYYY (if known) Document Figures Appear 4,628,937 12/16/86 Hess et al. 4,630,597 12/23/86 Kantrowitz et al. 4,665,906 05/19/87 Jervis 4,690,134 09/01/87 Snyders 4,697,703 10/06/87 Will 4,750,619 06/14/88 Cohen et al. 4,821,723 04/18/89 Baker, Jr. et al. 4,827,932 05/09/89 Ideker et al. 4,834,707 05/30/89 Evans 4,838,288 06/13/89 Wright et al. 4,840,626 06/20/89 Linsky et al. 4.863.016 09/05/89 Fong et al. 4,878,890 11/07/89 Bilweis 4,936,857 06/26/90 Kulik 4,957,477 09/18/90 Lundbäck 4,960,424 10/02/90 Grooters 4,973,300 11/27/90 Wright 4,976,730 12/11/90 Kwan-Gett 5,031,762 07/16/91 Heacox 5,057,117 10/15/91 Atweh 5,067,957 11/26/91 Jervis 5,087,243 02/11/92 Avitall FOREIGN PATENT DOCUMENTS Pages, Columns, Lines Foreign Patent Document Examiner Cite Name of Patentee or Applicant **Publication Date** Where Relevant T۴ Initials* Kind³ MM-DD-YYYY of Cited Document Passages or Relevant Office3 Number⁴ (if known) Figures Appear FR 2 645 739 10/19/90 Mentzer et al. **PCT** WO 91/19465 12/26/91 Frater SU 1734767 A1 05/23/92 Popov et al. EP 0 280 564 B1 06/16/93 Intermedics, Inc. DE 38 31 540 C2 06/24/93 Evans PCT WO 95/06447 03/09/95 W.L. Gore & Associates PCT WO 96/04852 02/22/96 Northrup DE 295 17 393 U1 03/14/96 Hohmann et al.

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Heilman et al.

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Substitute for form	1449A/PTO		Application Number 10/715,150		
INFORM	MATION DI	SCI OSLIDE	Filing Date	November 17, 2003	
INFORMATION DISCLOSURE			First Named Inventor	Lilip Lau	
SIAIL	STATEMENT BY APPLICANT		Art Unit	Unassigned	
(use	as many sheets as	necessary)	Examiner Name	Unassigned	
Sheet 3	of	14	Attorney Docket Number	PARCR 67359	

		Documen		. PATENT DOCUMEN	Name of Patentee or	Described the unit
Examiner Initials*	Cite No'	Number	Kind Code ² (if known)	- Publication Date MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,098,369		03/24/92	Heilman et al.	
		5,106,386		04/21/92	Isner et al.	
		5,119,804		06/09/92	Anstadt	
		5,131,905		07/21/92	Grooters	
		5,150,706		09/29/92	Cox et al.	
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		5,197,978		03/30/93	Hess	
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	İ	5,279,539		01/18/94	Bohan et al.	
		5,290,217		03/01/94	Campos	
		5,336,254		08/09/94	Brennen et al.	
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		5,352,184		10/04/94	Goldberg et al.	
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		5,366,460		11/22/94	Eberbach	
		5,383,840		01/24/95	Heilman et al.	
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Examiner	Cite		Foreign Patent Docum	nent	Publication Date	Name of Patentee or	Pages, Columns, Lines								
Initials*	No	Office ³	Number ⁴	Kind ³ (if known)	MM-DD-YYYY	Applicant of Cited Document		T _e							
		PCT	WO 96/40356		12/19/96	EP Technologies, Inc.									
		PCT	WO 97/20505		06/12/97	Loma Linda University									
		PCT	WO 97/24101		07/10/97	Melvin									
		EP	0 791 330 A3		08/27/97	Cardiothoracic Systems		1							
		PCT	WO 98/03213		01/29/98	Heartport, Inc.									
		PCT	WO 98/14136		04/09/98	Acom Medical, Inc.		1							
		PCT	WO 98/26738		06/25/98	Hearten Medical, Inc.		<u> </u>							
		PCT	WO 98/29041		07/09/98	Myocor, Inc.	<u> </u>								
		PCT	WO 99/11201		03/11/99	Endocore, Inc.									

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Substitute	or form 1449A/PTO		Application Number	10/715,150	
INI	FORMATION D	ISCLOSURE	Filing Date	November 17, 2003	
H	ATEMENT BY		First Named Inventor	Lilip Lau	
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Sheet 4	of	14	Attorney Docket Number	PARCR 67359	

			U.S	. PATENT DOCUMEN	NTS	A
Examiner Initials*	Cite No ¹	Number Docum	ent Number Kind Code ² (if known)	- Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,405,360		04/11/95	Tovey	
		5,429,584		07/04/95	Chiu	
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		EP	0 919 193 A1		06/02/99	Ethico	n Endo-Surgery	<u> </u>	
		PCT	WO 99/30647	1	06/24/99	Муосо			
		PCT	WO 99/44534		09/10/99	Melvin	1		
		PCT	WO 99/44680		09/10/99	Abiom	ed, Inc.		
		PCT	WO 99/53977		10/28/00	SciMe	d Life Systems		
		PCT	WO 99/56655		11/11/99		tore, Inc.		
		PCT	WO 00/02500		01/20/00	Acom	Cardiovascular		
		PCT	WO 00/06026		02/10/00	Муосо	or, Inc.		
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Complete if Known Substitute for form 1449A/PTO 10/715,150 **Application Number** November 17, 2003 Filing Date INFORMATION DISCLOSURE First Named Inventor Lilip Lau STATEMENT BY APPLICANT Unassigned Art Unit Unassigned **Examiner Name** (use as many sheets as necessary) Sheet 5 PARCR 67359 Attorney Docket Number

Examiner	Cite	Document Number	S. PATENT DOCUMEN	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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		5,727,569	03/17/98	Benetti et al.	
		5,749,839	05/12/98	Kovacs	
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		5,800,334	09/01/98	Wilk	
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	No	Office ³	Number ⁴	Kind ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶
		PCT	WO 00/06028		02/10/00	Mortier, et al.		
		PCT	WO 00/13722		03/16/00	SciMed Life Systems		
		PCT	WO 00/16700		03/30/00	Myocor, Inc.		
		PCT	WO 00/18320		04/06/00	Univ. of Cincinnati		
		PCT	WO 00/28912		05/25/00	Acom Cardiovascular		
		PCT	WO 00/28918		05/25/00	Acom Cardiovascular		
		PCT	WO 00/42919		07/25/00	Buckberg et al.		
		PCT	WO 00/45735		08/10/00	Acom Cardiovascular		
		PCT	WO 00/48795		08/24/00	Richebourg		

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Substitu	ute for form 1449	A/PIO		Application Number	10/715,150	
T	INFORMATION DISCLOSURE			Filing Date	November 17, 2003	
	STATEMENT BY APPLICANT		First Named Inventor	Lilip Lau		
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Sheet	6	of	14	Attorney Docket Number	PARCR 67359	

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Examiner Initials*	Cite No ¹	Number Docum	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,007,486		12/28/99	Hunt et al.	
		6,015,378		01/18/00	Borst et al.	
		6,024,096		02/15/00	Buckberg	
		6,045,497		04/04/00	Schweich, Jr. et al.	
		6,050,936		04/18/00	Schweich, Jr. et al.	
		6,059,715		05/09/00	Schweich, Jr. et al.	
		6,071,303		06/06/00	Laufer	
		6,076,013		06/13/00	Brennan et al.	
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		6,077,218		06/20/00	Alferness	
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		6,085,754		07/11/00	Alferness et al.	
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		6,123,662		09/26/00	Alferness et al.	1
		6,125,852	· · · · · · · · · · · · · · · · · · ·	10/03/00	Stevens et al.	
		6,126,590		10/03/00	Alferness	
		6,155,968		12/05/00	Wilk	
		6,155,972		12/05/00	Nauertz et al.	
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Examiner	Cite	Cite Foreign Patent Docume		nent	Publication Date	Name of Patentee or	Pages, Columns, Lines	
Initials*	No	Office ³	Number ⁴	Kind ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T _e
		PCT	WO 00/62727	- An John - An J	10/26/00	Hearten Medical	The same state of the same sta	
		PCT	WO 00/74769		12/14/00	Johns Hopkins Univ.		
		PCT	WO 01/17437		03/15/01	Cardiothoracic Systems		
		PCT	WO 01/21098		03/29/01	Acorn Cardiovascular		
		PCT	WO 01/50981		07/19/01	Acorn Cardiovascular		
		PCT	WO 01/67985		09/20/01	Paracor Surgical		1
		PCT	WO 01/85061		11/15/01	Acorn Cardiovascular		
		PCT	WO 01/91667		12/06/01	Cardioclasp, Inc.	1	
		PCT	WO 01/95830		12/20/01	Acorn Cardiovascular		_

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Signature	Considered	

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Substitute St. St. 14404 Prince	C	omplete if Known
Substitute for form 1449A/PTO	Application Number	10/715,150
INFORMATION DISCLOSURE	Filing Date	November 17, 2003
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau
STATEMENT DI APPLICANT	Art Unit	Unassigned
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 7 of 14	Attorney Docket Number	PARCR 67359

			U.S.	. PATENT DOCUMEN	NTS	
Examiner Initials*	Cite No ¹	Number Documer	t Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
·		6,165,119		12/26/00	Schweich, Jr. et al.	
		6,165,120		12/26/00	Schweich, Jr. et al.	
		6,165,121		12/26/00	Alferness	
		6,165,122		12/26/00	Alferness	
		6,166,184		12/26/00	Hendriks et al.	
		6,169,922		01/02/01	Alferness et al.	
		6,174,279		01/16/01	Girard	
		6,179,791		01/30/01	Krueger	
		6,183,411		02/06/01	Mortier et al.	
		6,190,408		02/20/01	Melvin	
		6,193,648		02/27/01	Krueger	
		6,206,820		03/27/01	Kazi et al.	
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		6,260,552		07/17/01	Mortier et al.	
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		6,287,250		09/11/01	Peng et al.	
		6,293,906		09/25/01	Vanden Hoek et al.	
		6,306,141		10/23/01	Jervis	
		6,360,749		03/26/02	Jayaraman	†

	,			FOREIGN	PATENT DOCU	MENTS	The state of the s	
Examiner Initials*	Cite	ite Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines	
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		PCT	WO 01/95831		12/20/01	Acorn Cardiovascular	THE PERSONNEL PROGRAMMENT AND INVESTOR COMMISSION OF A SECURIFICATION OF A SEC	
		PCT	WO 01/95832		12/20/01	Acom Cardiovascular		1
		PCT	WO 02/13726		02/21/02	Acom Cardiovascular		
		PCT	WO 02/19917		03/14/02	Converge Medical		
		PCT	WO 02/059007		08/01/02	SnapWare Corp.		
		PCT	WO 03/026483		04/03/03	The Foundry, Inc.		İ
		PCT	WO 03/026485		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026484		04/03/03	The Foundry, Inc.		

Examiner	 Date	
Signature	 Considered	

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C 1	Substitute for form 1449A/PTO		Complete if Known			
Substitu	ate for form 1449A/PTO			Application Number	10/715,150	
T	NEORMATION	J DI	SCI OSHDE	Filing Date	November 17, 2003	
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT		First Named Inventor	Lilip Lau		
	STATEMENT B	YA	PPLICANI	Art Unit	Unasigned	
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Sheet	8	of	14	Attorney Docket Number	PARCR 67359	

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Examiner Initials*	Cite No ¹	Number Docume	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		7,375,608		04/23/02	Alferness	
		6,390,976		05/21/02	Spence et al.	
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		6,409,760		06/25/02	Melvin	
		6,416,459		07/09/02	Haindl	
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Signature	Considered	

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Substitute See Sec. 14404 (DVD)	Complete if Known		
Substitute for form 1449A/PTO	Application Number	10/715,150	
INFORMATION DISCLOSURE	Filing Date	November 17, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau	
STATEMENT DI AFFLICANT	Art Unit .	Unasigned	
(use as many sheets as necessary)	Examiner Name	Unassigned	
Sheet 9 of 14	Attorney Docket Number	PARCR 67359	

			U.S.	PATENT DOCUMEN	YTS	
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	7
Examiner Initials*	Cite No ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
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Substitute for form 1449B/PTO		Complete if Known		
		Application Number	10/715,150	
INFORMATION DISCLOSURE			Filing Date	November 17, 2003
		First Named Inventor	Lilip Lau	
.	STATEMENT BY APPLICANT		Group Art Unit	Unassigned
	(use as many sheets a	necessary)	Examiner Name	Unassigned
Sheet	11 of	14	Attorney Docket Number	PARCR 67359

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Examiner Initials*	Cite No ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T,
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Substitute for form 1440D/DTO		Complete if Known				
Substitute for form 1449B/PTO			Application Number	10/715,150		
INFORMATION DISCLOSURE			Filing Date	November 17, 2003		
			First Named Inventor	Lilip Lau		
STATEMENT BY APPLICANT		MENT BY APPLICANT	Group Art Unit	Unassigned		
(use as many sheets as necessary)			Examiner Name	Unassigned		
Sheet	12	of 14	Attorney Docket Number	PARCR 67359		
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Substitute for form 1449B/PTO	Application Number	10/715,150	
INFORMATION DISCLOSURE	Filing Date	November 17, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau	
STATEMENT DI APPLICANT	Group Art Unit	Unassigned	
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Sheet 13 of 14	Attorney Docket Number	PARCR 67359	

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS Taminer Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,			
Examiner Initials*	Cite No ¹ .	magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т	
		Application for U.S. Letters Patent Serial No. 09/634,043 filed August 8, 2000		
		Application for U.S. Letters Patent Serial No. 09/952,145 filed September 10, 2001		
	-	Application for U.S. Letters Patent Serial No. 10/242,016 filed September 10, 2002	1	
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1		Application for U.S. Letters Patent Serial No. 10/338,934 filed January 7, 2003	1	
		Provisional Patent Application Serial No. 60/458,991 filed March 28, 2003		
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		Application for U.S. Letters Patent Serial No. 10/693,577 filed October 23, 2003	1	
		Application for U.S. Letters Patent Serial No. 10/694,646 filed October 27, 2003	†	
	_	Application for U.S. Letters Patent Serial No. 10/698,237 filed October 31, 2003	1	
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-		Application for U.S. Letters Patent Serial No. 10/705,989 filed November 12, 2003		
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		Application for U.S. Letters Patent Serial No. 10/754,174 filed January 9, 2004	1	
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INFORMATION DISCLOSURE	Application Number 10/715,150 Filing Date November 17 First Named Inventor Lilip Lau Group Art Unit Unassigned Examiner Name Unassigned	November 17, 2003
	First Named Inventor	Lilip Lau
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		Application for U.S. Letters Patent Serial No. 10/777,451 filed February 12, 2004	
	-	Application for U.S. Letters Patent Serial No. 10/788,791 filed February 27, 2004	
		Application for U.S. Letters Patent Serial No. 10/704,376 filed March 3, 2004	<u> </u>
		Application for U.S. Letters Patent Serial No. 10/705,574 filed March 5, 2004	
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